# COMPTON COMMUNITY COLLEGE DISTRICT REQUEST FOR QUALIFICATIONS ("RFQ") FOR ARCHITECTURAL AND PROFESSIONAL SERVICES ON THE MUSIC BUILDING RENOVATION PROJECT ARCHITECT STATEMENT OF QUALIFICATIONS (RFQ Attachment A)

## **1. General Information**

#### **1.1. Contact Information**

FIRM NAME	
MAILING ADDRESS	
PHYSICAL OFFICE LOCATION (IF	
DIFFERENT FROM MAILING ADDRESS)	
PHONE/FAX	
CONTACT PERSON	
CONTACT PERSON EMAIL ADDRESS	
FIRM WEB PAGE ADDRESS	

## **1.2.** Organization and Structure of Respondent.

**1.2.1.** Type of Entity Check appropriate box below

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Corpo	ration Partnership LLC LLP Sole Proprietorship		
1.2.2	<b><u>Corporate Entity Firms.</u></b> If the Respondent firm is a corporation, complete the following:		
1.2.2.1	Date Incorporated:		
1.2.2.2	2.2.2 Under the Laws of what State:		
1.2.2.3 Corporation Number:			
<b>1.2.2.4</b> Type of Corporation:			
[	C Corp. S Corp. LLC Other:		
1.2.2.5	Federal Employer Identification Number:		

**1.2.2.6** Provide all of the following information for each person who is either: an executive officer or, board member of the Corporation, or the owner of at least ten percent (10%) of the Corporation stock:

Name	Position	Years with Company	% Ownership

- **1.2.3. Partnership Entity Firms.** If the Respondent firm is a partnership, complete the following:
- **1.2.3.1.** Date of formation: \_\_\_\_\_
- 1.2.3.2. Under the laws of what state: \_\_\_\_\_

1.2.3.3. Federal Employer Identification Number: \_\_\_\_\_

**1.2.3.4.** Type of Partnership:

General Limited LLP Other:

**1.2.3.5.** Provide all the following information for each partner who owns 10 percent (10%) or more of the partnership equity.

Name	Position	Years with Company	% Ownership

1.2.4.	Sole Proprietorship Firms. If the Respondent firm is a sole
	proprietorship, complete the following:

1.2.4.1. Date of commencement of business: \_\_\_\_\_

1.2.4.2. Name(s) of company owner(s): \_\_\_\_\_

**1.2.4.3**. Social security number(s) of company owner(s) or Federal Tax Id Number:

#### 2. <u>Compliance With RFQ Requirements</u>

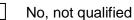
**2.1**. **Financial Statement.** The Respondent's 2010 calendar year or 2010/2011 fiscal year financial statement has been submitted with the Respondent's RFQ Response.

Yes	

No, not qualified

**2.2.** Assigned Projects Experience. Tab 2 of the Respondent's RFQ Response sets forth details of the experience of the Respondent and its proposed Design Consultants to complete architectural and related services for the Music Building Renovation Project.

	Yes
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2.3. Assigned Projects Proposed Project Team. Tab 3 of the Respondent's RFQ Response identifies the key personnel of the Respondent and its proposed Design Consultants for the Project and their respective background and qualifications for the Project; and includes current resumes of the key personnel of the Respondent and its proposed Design Consultants.

Yes

No, not qualified

2.4. Project Planning Approach. Tab 4 of the Respondent's RFQ Response sets forth the Respondent's proposed approach to completing architectural and related services for the Project, which incorporates details of the Respondent's quality control measures.

Yes	

No, not qualified

2.5. Insurance Certificates. Tab 5 of the Respondent's RFQ Response incorporates copies of Certificates of Insurance evidencing the current insurance coverages maintained by the Respondent and its proposed Design Consultants for the Project and which equal or exceed the minimum coverage amounts required by the RFQ.

Yes	

\_\_\_ No, not qualified

### 3. General Questions

**3.1**. Has your firm been subject to judgment, settlement, or arbitration award arising out of or related to architectural services provided by or through your firm within the past five (5) years?



If yes, on a separate attachment, describe each such judgment, settlement, or arbitration award including details of: (i) the parties to each judgment, settlement or arbitration; (ii) the amount paid by your firm for each judgment, settlement or arbitration award; and (iii) if your firm was subject to one or more judgments, for each judgment identify the Court in which the judgment was entered, along with a case number relating to such judgment.

**3.2.** Has a claim been asserted against your firm's professional liability (errors and omissions) insurance policy within the past five (5) years?

Yes
No

If yes, on a separate attachment, describe each such claim including details of: (i) the party making the claim; (ii) a description of the claim; and (iii) the final disposition (or current status if the claim is not fully resolved) of the claim, including without limitation, a description of the manner in which the claim was resolved (i.e., by mutual agreement, mediation, judgment, etc) and the amounts paid to resolve the claim. **3.3.** Has your firm or has any California licensed architect of your firm submitted a report to the California Architects Board pursuant to Business & Professions Code §5588 notifying the California Architects Board of any civil action judgment, settlement, arbitration award, or administrative action resulting in a judgment, settlement, or arbitration award against your firm or a California licensed Architect of your firm in an action alleging fraud, deceit, negligence, incompetence or recklessness where the amount of the judgment, settlement, or arbitration award exceeded \$5,000?



No

If yes, on a separate attachment, describe each such report including details of: (i) the parties to each judgment, settlement or arbitration; (ii) the amount paid by or on behalf of your firm for each judgment, settlement or arbitration award; and (iii) if your firm was subject one or more judgments, for each judgment identify the Court in which the judgment was entered, along with a case number relating to such judgment.

**3.4.** Has your firm or any predecessor to your firm, or any of its owners, officers, or partners ever been found liable in a civil suit, or found guilty in a criminal action, for making any *false claim or material misrepresentation* to any public agency or entity?

Yes
No

If yes, provide details, including without limitation, the County and Case Number of the proceeding resulting in civil or criminal liability, the public agency involved, the nature of the false claim(s) or material misrepresentation(s) giving rise to such civil or criminal liability, the final determination of the judge or jury including the nature and amount of any civil judgment and/or criminal penalties, and the current status of the matter.

**3.5.** At any time during the last five (5) years, has your firm or any predecessor to your firm, or any of its owners, officers or partners ever been *convicted of a crime* involving any federal, state, or local law related to design or construction of a project?

Yes
No

If yes, provide details, including without limitation, the County and Case Number of the proceeding resulting in criminal conviction; the project giving rise to the criminal action and criminal conviction, the public agency owner of such project and the nature and amount of the penalty imposed as a result of such conviction. **3.6.** At any time during the last five (5) years, has your firm or any predecessor to your firm, or any of its owners, officers or partners ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?



If yes, provide details, including without limitation, the County and Case Number of the proceeding resulting in conviction for fraud, theft or other act(s) of dishonesty, the circumstances constituting fraud, theft or other act of dishonesty, and the nature and amount of the penalty imposed as a result of such conviction.

**3.7.** Is your firm currently the debtor in a bankruptcy/insolvency case under federal or state law?



If yes, provide the following: (i) the Court in which the bankruptcy proceeding is pending and the Case Number assigned to the proceeding; (ii) whether the proceeding is a federal bankruptcy code Chapter 7 or Chapter 11 proceeding; (iii) description of the current status of the bankruptcy proceeding; and (iv) a description of the debtor's outstanding debts and obligations subject to discharge in the bankruptcy proceeding and the value of the debtor's assets.

**3.8.** Was your firm or any predecessor to your firm, in bankruptcy any time during the last five (5) years? (This question refers only to a bankruptcy action that was not described in answer to Question 3.7, above).



\_\_\_\_ No

If yes, provide the following: (i) Court in which the bankruptcy proceeding was filed and the Case Number assigned to the proceeding; (ii) whether the proceeding was a federal bankruptcy code Chapter 7 or Chapter 11 proceeding; and (iii) description of the final disposition of the bankruptcy proceeding.

**3.9.** In the last five (5) years, has your firm or any predecessor to your firm, been denied an award of a contract relating to any public project or public works based on a finding by a public agency that your firm was not a responsible bidder?

Yes
No

If yes, provide the following: (i) if the determination was in whole or in part in writing, provide a copy of such written determination; (ii) identify the public agency making each such finding of non-responsibility; and (iii) provide a detailed description of the basis for each public agency finding of non-responsibility.

**3.10.** Within the last five (5) years, has there ever been a period when your firm had employees but was without workers' compensation insurance or state-approved self-insurance?



**3.11.** Has a contract or assignment relating to architectural services to which your firm was a party been terminated for your firm's default?

Yes
No

If yes, provide details of: (i) the nature and scope of the services provided by your firm; (ii) detailed description of the basis for each termination for default; and (iii) whether any dispute resolution proceedings (judicial litigation, arbitration, mediation) were initiated relative to each termination for default and if so, details of such proceeding and the final disposition thereof.

**3.12.** Has a contract or assignment relating to architectural services to which your firm was a party been terminated for the convenience of the Project owner?



\_\_\_\_ No

If yes, provide details of: (i) the nature and scope of the services provided by your firm; (ii) detailed description of the basis for each termination for convenience; and (iii) whether any dispute resolution proceedings (judicial litigation, arbitration, mediation) were initiated relative to each termination for convenience and if so, details of such proceeding and the final disposition thereof.

The undersigned is authorized to execute this Statement of Qualifications on behalf of the above-identified Respondent. The undersigned warrants and represents to the District that: (i) he/she has personal knowledge of each of the foregoing responses and/or has made diligent inquiry of persons with personal knowledge of the foregoing responses; (ii) all responses to this Statement of Qualifications are complete and accurate; and (iii) no response to this Statement of Qualifications is false or misleading and/or omits material facts rendering the response to be false or misleading.

I declare under penalty of perjury under California law that all of the foregoing are true and correct.

Executed this \_\_\_\_\_ day of \_\_\_\_\_, 2012 at \_\_\_\_\_\_(City and State)

By: \_\_\_\_\_

Title: